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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/650,969	08/29/2000	Robert L Thornton	SIROS-00013

Robert Hall
Sierra Patent Group Ltd.
P O Box 6149
Stateline, NV 89449

FORMALITIES LETTER



OC000000005506735

Date Mailed: 10/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$666.
 - \$432 for 24 total claims over 20.
 - \$234 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1486.

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355.00
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11/08/2000 HVWMS1

FC:201

FC:202

FC:203

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) Art Unit: 2881
Thornton, et al.) Examiner:
Serial No. 09/650,969)
Filed: August 29, 2000)
For: NEAR FIELD OPTICAL)
APPARATUS)



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

on 11-2-00
Date

Teri Muir
Teri Muir

RESPONSE TO NOTICE TO FILE MISSING PARTS

Honorable Assistant Commissioner
for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts dated October 26, 2000, please find enclosed herewith:

1. Copy of the Assignment executed by the inventors;
2. Declaration and Power of Attorney executed by the inventors;
3. Verified Statement Claiming Small Entity Status executed by an officer of Siros Technologies, Inc.

4. Check in the amount of \$756.00 for filing fee and surcharge for assignee as a small entity calculated as follows:

Basic filing fee	\$355.00
3 Independent Claims in excess of 3	\$120.00
24 additional claims in excess of twenty	\$216.00
Surcharge	\$ 65.00
Total	\$756.00

5. Copy of Notice to File Missing Parts.

In the event any variance exists between the amount enclosed and the patent office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. An additional copy of this page is enclosed.

Respectfully submitted,
Sierra Patent Group, Ltd.

Dated: 11/02/00

Robert C. Hall
Reg. No.: 39,209

Sierra Patent Group, Ltd.
P.O. Box 6149
Stateline, NV 89449
(775) 586-9500